

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

JC07 Rec'd PCT/PTO 21 FEB 2002

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/069688

INTERNATIONAL APPLICATION NO.

PCT/EP 01/00817

INTERNATIONAL FILING DATE

January 25, 2001

PRIORITY DATE CLAIMED

December 22, 2000

TITLE OF INVENTION

MEDICAL DEVICE AND LOCKING MECHANISM THEREFOR

APPLICANT(S) FOR DO/EO/US

MASTORAKIS, Emmanuel

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. has been transmitted by the International Bureau.
  - c. is not required, as the application was filed in the United States Receiving Office (RO/US)
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. have been transmitted by the International Bureau.
  - c. have not been made; however, the time limit for making such amendments has NOT expired.
  - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (*Unsigned*).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - Certificate of Mailing Express Mail No. EL545347964US
  - Authorization To Charge Deposit Account (in duplicate)
  - Copy of Letter enclosing priority document
  - PCT Invitation To Pay Additional Fees
  - PCT Notification Of Receipt Of Search Copy
  - PCT Notification Of Receipt Of Record Copy
  - PCT General Power Of Attorney
  - PCT Notification Of The International Application Number And Of The International Filing Date
  - PCT Invitation To Correct Defects In The International Application
  - PCT Request
  - Return Postcard

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

INTERNATIONAL APPLICATION NO.

10/069688

PCT/EP 01/00817

JONES'S DOCKET NUMBER

KIL01 P-424 21 FEB 2002

17. ☒ The following fees are submitted:

CALCULATIONS

PTO USE ONLY

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$ 890.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(s)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$ 1040.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$ 740.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$ 710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4).....\$ 100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$ 1040.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims

Number Filed

Number Extra

Rate

Total Claims 53 - 20 =

33

X \$18.00

\$ 594.00

Independent Claims 5 - 3 =

2

X \$84.00

\$ 168.00

Multiple dependent claim(s) (if applicable)

+ \$280.00

\$ 0

**TOTAL OF ABOVE CALCULATIONS =**

\$ 1802.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

**SUBTOTAL =**

\$

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

+

\$

**TOTAL NATIONAL FEE =**

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$

**TOTAL FEE ENCLOSED =**

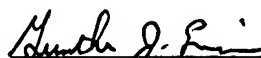
\$1802.00

Amount to be:  
refunded \$

charged \$

a. ☒ A check in the amount of \$1802.00 to cover the above fees is enclosed.

b. Please charge my Deposit Account No. 16-2463 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-2463. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**SEND ALL CORRESPONDENCE TO:**Price, Heneveld, Cooper,  
DeWitt & Litton  
695 Kenmoor, S.E.  
P.O. Box 2567  
Grand Rapids, MI 49501
  
 Signature  
 Gunther J. Evanina  
 Name  
 35 502  
 Registration Number

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Emmanuel Mastorakis

For : MEDICAL DEVICE AND LOCKING MECHANISM THEREFOR

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT

The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application, or credit any overpayment to Deposit Account No. 16-2463.

- 1) Any filing fees required under 37 C.F.R. §1.16 for which full payment has not been tendered.
- 2) Any patent application processing fees under 37 C.F.R. §1.17 for which full payment has not been tendered.
- 3) Any assignment recording fee under 37 C.F.R. §1.21 for which payment has not been tendered.

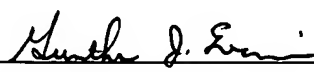
Pursuant to 37 C.F.R. §1.136(a)(3), the Commissioner is hereby authorized to treat any concurrent or future reply for this application that requires an extension of time as incorporating a request therefor. Any request or petition for an extension of time should be treated as requesting the appropriate length of time notwithstanding an inadvertent reference in the petition to a shorter period of time. A duplicate of this sheet is enclosed.

Respectfully submitted,

Emmanuel Mastorakis

By: Price, Heneveld, Cooper,  
DeWitt & Litton

February 21, 2002  
Date

  
Gunther J. Evanina  
Registration No. 35 502  
695 Kenmoor, S.E./Post Office Box 2567  
Grand Rapids, Michigan 49501  
(616) 949-9610

GJE/daw

Atty. Docket No. KIL01 P-424  
Express Mail No. EL545347964US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Emmanuel Mastorakis

For : MEDICAL DEVICE AND LOCKING MECHANISM THEREFOR

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached postal card, Filing Fee in the amount of \$1802.00 (check), PTO Transmittal Letter (2 pages in duplicate), Authorization to Charge Deposit Account (1 page in duplicate), Preliminary Amendment (8 pages), International Application 20 pages of Specification, 9 pages of Claims (56 Claims), 1 page of Abstract, 6 pages of Drawings (in duplicate), Declaration and Power of Attorney (unsigned) (1 page), PCT Invitation To Pay Additional Fees (7 pages), Copy of Letter enclosing priority document (1 page), PCT Notification Of Receipt Of Search Copy (1 page), PCT Notification Of Receipt Of Record Copy (1 page), PCT General Power Of Attorney (1 page), PCT Notification Of The International Application Number And Of The International Filing Date (1 page), PCT Invitation To Correct Defects In The International Application (2 pages), PCT Receipt for documents (1 page), PCT Request (4 pages) are being deposited with the United States Postal Service in an envelope having Express Mail Mailing Label Number EL545347964US addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

on February 21, 2002.



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